

ATTORNEY DOCKET NUMBER  
067251.0104

1

RECEIPT #4  
PATENT  
09/470,580  
**FILE COPY**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Steven B. Solomon, et al.  
Serial No.: 09/470,580  
Filing Date: December 22, 1999  
Title: REBATE PROCESSING SYSTEM AND METHOD  
OFFERING SELECTABLE DISBURSEMENT  
OPTIONS

Office of Initial Patent Examination's Customer  
Service Center  
Assistant Commissioner of Patents  
Washington, D.C. 20231

I hereby certify that this  
correspondence is being deposited  
with the United States Postal Service  
as first class mail in an envelope  
addressed to: Application Processing  
Division, Customer Correction  
Branch, Assistant Commissioner of  
Patents, Washington, D.C. 20231, on  
the date shown below.

J. Vasquez  
Name

Oct. 11, 2000  
Date

Dear Sir:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

The Official Filing Receipt issued in lieu of a Response to Notice to File Missing Parts of Application, has several noteworthy errors in need of correction. The names of the inventors are out of order, two inventors have been omitted, one has an incorrect residence listed, and one has the incorrect surname listed. Please insert the names of the inventors onto the corrected filing receipt as follows:

--Steven B. Solomon, Plano, TX—  
--Kenneth R. Johnsen, Dallas, TX—  
--Andrew F. Adams, Plano, TX—  
--Juli C. Spottiswood, Dallas, TX—  
--Michael D. Reynolds, Richardson, TX—  
--Jack W. Doxey, Flower Mound, TX—  
--Mason Wright, Coppell, TX—  
--Kelly R. Melvin, Issaquah, WA—  
--Barbara A. Minor, North Hollywood, CA—

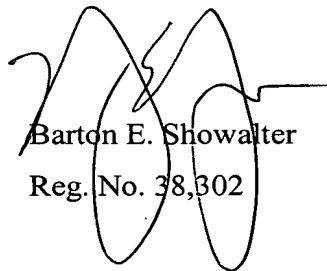
A copy of the Declaration and Power of Attorney filed September 7, 2000 has been included which verifies the names of the inventors and their residences. A corrected filing receipt is respectfully requested.

While it is believed that this is not an error by the Applicants, the Commissioner is hereby authorized to charge any amount required by this paper or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted this 10<sup>th</sup> day of October, 2000.

BAKER BOTTS L.L.P.

Attorneys for Applicants

  
Barton E. Showalter  
Reg. No. 38,302

2001 Ross Avenue  
Dallas, Texas 75201-2980  
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(jdv)



## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/470,580         | 12/22/1999  | 2768         | 646           | 067251.0104     | 13       | 38         | 4          |

BAKER & BOTTS LLP  
2001 ROSS AVENUE  
DALLAS, TX 752012980

## FILING RECEIPT



\*OC000000005429606\*

Date Mailed: 09/27/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

KELLY R. MELVIN, ISSAQUAH, WA ;  
ANDREW F. ADAMS, PLANO, TX ;  
WILLIAM E. WOODSON, DALLAS, TX ;  
STEVEN B. SOLOMON, DALLAS, TX ; Plano  
MICHAEL D. REYNOLDS, RICHARDSON, TX ;  
KENNETH R. JOHNSEN, DALLAS, TX ;  
BARBARA A. BAGWELL, NORTH HOLLYWOOD, CA ; Barbara A. Minor  
MASON WRIGHT, BELLEVUE, WA ; Coppell, TX  
Juli C. Spottiswood, Dallas, TX Jack W. Doxey, Flower Mound, TX

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/12/2000

\*\* SMALL ENTITY \*\*

## Title

REBATE PROCESSING SYSTEM AND METHOD OFFERING SELECTABLE  
DISBURSEMENT OPTIONS

## Preliminary Class

705

Data entry by : WYATT, DEBRA

Team : OIPE

Date: 09/27/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



1

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; that I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention or design entitled *Rebate Processing System and Method Offering Selectable Disbursement Options*, the specification of which was filed on December 22, 1999 under Serial No. 09/470,580;

That I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and

That I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| <u>Number</u>  | <u>Country</u> | <u>Date<br/>Filed</u> | <u>Priority<br/>Claimed<br/>(Yes) (No)</u> |
|----------------|----------------|-----------------------|--|
| -----NONE----- |                |                       |  |

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application (s) in the manner provided by the first paragraph of 35 U.S. C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application  
Serial Number

Date Filed

Status

-----NONE-----

I hereby appoint:

|                           |                  |
|---------------------------|------------------|
| Jerry W. Mills            | Reg. No. 23,005  |
| Robert M. Chiaviello, Jr. | Reg. No. 32,461  |
| Ann C. Livingston         | Reg. No. 32,479  |
| Thomas R. Felger          | Reg. No. 28,842  |
| Charles S. Fish           | Reg. No. 35,870  |
| Kevin J. Meek             | Reg. No. 33,738  |
| T. Murray Smith           | Reg. No. 30,222  |
| Barton E. Showalter       | Reg. No. 38,302  |
| David G. Wille            | Reg. No. 38,363  |
| Bradley P. Williams       | Reg. No. 40,227  |
| Terry J. Stalford         | Reg. No. 39,522  |
| Christopher W. Kennerly   | Reg. No. 40,675  |
| Harold E. Meier           | Reg. No. 22,428  |
| Douglas M. Kubehl         | Reg. No. 41,915  |
| Samir A. Bhavsar          | Reg. No. 41,617  |
| Thomas R. Nesbitt, Jr.    | Reg. No. 22,075  |
| James J. Maune            | Reg. No. 26,946  |
| Roger J. Fulghum          | Reg. No. 39,678  |
| Scott F. Partridge        | Reg. No. 28,142  |
| James B. Arpin            | Reg. No. 33,470  |
| James Remenick            | Reg. No. 36,902  |
| Jay B. Johnson            | Reg. No. 38,193  |
| Robert W. Holland         | Reg. No. 40,020  |
| Floyd B. Chapman          | Reg. No. 40,555  |
| Robert A. King            | Reg. No. 42,738  |
| James L. Baudino          | Reg. No. 43,486  |
| Tara D. Knapp             | Reg. No. 43,723  |
| William R. Borchers       | Reg. No. 44,549  |
| Darren W. Collins         | Reg. No. 44,625  |
| Brian W. Oaks             | Reg. No. 44,981  |
| Luke K. Pedersen          | Reg. No. 45,003  |
| Matthew B. Talpis         | Reg. No. 45,152  |
| David M. Doyle            | Reg. No. 43,596  |
| Keiko Ichiye              | Reg. No. 45,560  |
| Jeffery D. Baxter         | Reg. No. 45,560  |
| Thomas A. Beaton          | Reg. No. P46,543 |

|                   |                  |
|-------------------|------------------|
| Kurt M. Pankratz  | Reg. No. P46,977 |
| Brian E. Szymczak | Reg. No. P47,120 |
| Patent Agents:    |                  |
| Brian A. Dietzel  | Reg. No. 44,656  |
| Kevin R. Imes     | Reg. No. 44,795  |

all of the firm of Baker & Botts, L.L.P., my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities under the Patent Cooperation Treaty.

Send Correspondence To:

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2001 Ross Avenue  
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Direct Telephone Calls To:

Barton E. Showalter  
at (214) 953-6509  
Attorney Docket No. 067251.0104

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

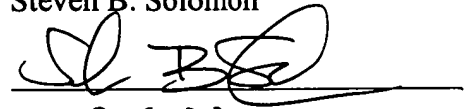
Full name of first  
joint inventor:

Inventor's signature:

Date:

Residence (City, County, State)  
Citizenship  
Post Office Address

Steven B. Solomon

  
9-6-00

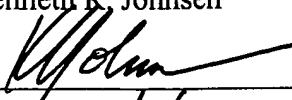
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United States of America  
4412 Edmondson Avenue  
Dallas, TX 75205



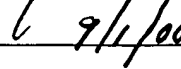
Full name of additional  
joint inventor

Kenneth R. Johnsen

Inventor's signature:

  
\_\_\_\_\_

Date:

  
\_\_\_\_\_

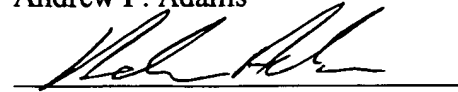
Residence (City, County, State)  
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Post Office Address

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5821 Lakehurst Avenue  
Dallas, TX 75230

Full name of additional  
joint inventor

Andrew F. Adams

Inventor's signature:



Date:

8-31-00

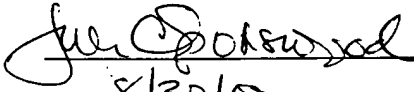
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Full name of additional  
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Juli C. Spottiswood

Inventor's signature:

  
8/30/00

Date:

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Post Office Address

Dallas (Dallas) Texas  
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6805 Mimosa Lane  
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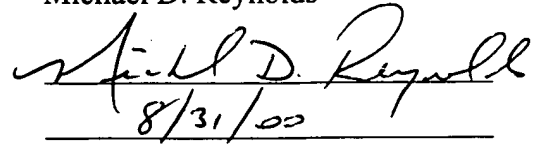
Full name of additional  
joint inventor

Inventor's signature:

Date:

Residence (City, County, State)  
Citizenship  
Post Office Address

Michael D. Reynolds

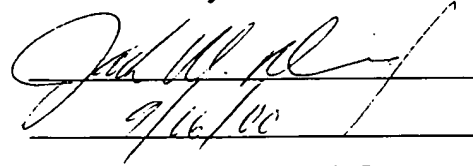
  
8/31/00

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Richardson, TX 75082

Full name of additional  
joint inventor

Jack W. Doxey

Inventor's signature:

A handwritten signature in dark ink, appearing to read "Jack W. Doxey", is written over a horizontal line. Below the signature, the date "9/16/00" is handwritten.

Date:

Residence (City, County, State)

Flower Mound (Denton) Texas

Citizenship

United States of America

Post Office Address

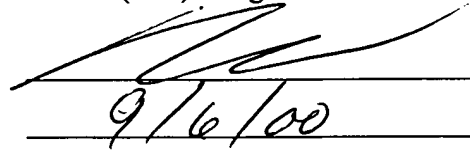
1829 Honey Mesquite

Flower Mound, TX 75028

Full name of additional  
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Date:

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Inventor's signature:



Date:

9.1.00

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Citizenship  
Post Office Address

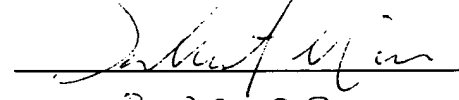
Issaquah (King) Washington  
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11

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Barbara A. Minor

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3.29.00

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